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PATENT 5236-000291

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# **CERTIFICATE OF MAILING UNDER 37 CFR §1.10**

I hereby certify that this correspondence and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this oth day of March, 2002 in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231.

Bryan K. Wheelock, Reg. No. 31,441

In re the Application of: Creighton

Serial No.: 10/021,279

Filed: December 11, 2001

For: An Efficient Magnet System for Magnetically Assisted Surgery

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

### LETTER TO THE PATENT AND TRADEMARK OFFICE

Sir:

In response to the Notice of File Missing Parts of Nonprovisional Application, Filing Date Granted, dated February 19, 2002, a copy of which is attached, enclosed please find the following:

1. There is a fee owing of \$588.00 for the filing fee and late filing fee surcharge. Enclosed is our check for this amount.

Respectfully submitted,

Bryan K. Wheelock

Reg. No. 31,441

Harness, Dickey & Pierce, P.L.C. 7700 Bonhomme, Suite 400 St. Louis, Missouri 63105 (314) 726-7500

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FEE TRANSMITTAL for FY 2002

| Complete if Known    |                   |                  |  |  |  |
|----------------------|-------------------|------------------|--|--|--|
| Application Number   | 10/021,279        |                  |  |  |  |
| Filing Date          | December 11, 2001 | COPY OF PAPERS   |  |  |  |
| First Named Inventor | Creighton         | ORIGINALLY FILED |  |  |  |
| Examiner Name        | -                 |                  |  |  |  |
| Group / Art Unit     |                   |                  |  |  |  |
| Attorney Docket No.  | 5236-000291       |                  |  |  |  |

**TOTAL AMOUNT OF PAYMENT** 

Patent fees are subject to annual revision.

588

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES ☐ Credit card ☐ Money ☐ Other ☐ None Small Entity Entity Order ☐ Deposit Account: Fee Fee Fee Description Code (\$) Code (\$) Paid Deposit 205 105 130 65 Surcharge - late filing fee or oath 65 08-0750 Account 127 50 227 25 Surcharge - late provisional filing fee Number or cover sheet. 139 130 139 130 Non-English specification Deposit 147 2,520 147 2,520 For filing a request for reexamination Account Harness, Dickey & Pierce, P.L.C. 112 920\* 112 920 Requesting publication of SIR prior to Name Examiner action The Commissioner is authorized to: (check all that apply) 113 1.840 113 1,8401 Requesting publication of SIR after ☐ Charge fee(s) indicated below ☐ Credit any overpayments Examiner action Charge any additional fee(s) during the pendency of this application 115 110 215 55 Extension for reply within first month ☐ Charge fee(s) indicated below, except for the filing fee 116 400 216 200 Extension for reply within second to the above-identified deposit account. **FEE CALCULATION** 117 920 217 460 Extension for reply within third month 1. BASIC FILING FEE 118 1.440 218 720 Extension for reply within fourth Large Entity Small Entity month Fee Fee 128 1,960 228 980 Fee Fee **Fee Description** Extension for reply within fifth month Code Code Fee Paid (\$) (\$) 119 320 219 160 Notice of Appeal 101 740 201 370 Utility filing fee 370 120 320 220 160 Filing a brief in support of an appeal 106 330 206 165 Design filing fee 121 280 221 140 Request for oral hearing 107 207 255 510 Plant filing fee Petition to institute a public use 138 1,510 138 1,510 108 208 370 740 Reissue filing fee proceeding 140 160 214 80 Provisional filling fee 110 240 55 Petition to revive - unavoidable 141 241 1,280 640 Petition to revive - unintentional SUBTOTAL (1) (\$) 370 142 1,280 242 640 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES 143 460 243 230 Design issue fee 144 310 Plant issue fee 620 244 Extra Fee from Fee Claims below Paid 122 130 122 130 Petitions to the Commissioner Total Claims 23 -20 Х 9 27 123 50 123 50 Processing fee under 37 CFR 1.17 (q) ndependent Submission of Information Disclosure 6 -3 \*\* 3 42 126 126 180 126 180 Ctaims Stmt Recording each patent assignment Multiple 0 581 40 581 40 per property (times number of Dependent properties) Large **Entity** Small Entity 146 740 246 370 Filing a submission after final rejection Fee Fee Fee Fee (37 CFR § 1.129(a)) Fee Description Code (\$) Code (\$) 149 740 370 For each additional invention to be 249 103 18 203 Claims in excess of 20 examined (37 CFR § 1.129(b)) 102 84 202 42 Independent claims in excess of 3 179 740 279 370 Request for Continued Examination (RCE) 104 280 204 140 Multiple dependent claim, if not paid \*\* Reissue independent claims over 169 900 169 900 Request for expedited examination 109 209 42 84 original patent of a design application Reissue claims in excess of 20 and 110 18 210 over original patent Other fee (specify) \_\_ SUBTOTAL (2) (\$) 153\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \*\*or number previously paid, if greater; For Reissues, see above

| SUBMITTED BY Complete (if applicable) |                   |                                  |        |               |              |  |
|---------------------------------------|-------------------|----------------------------------|--------|---------------|--------------|--|
| Name (Print/Type)                     | Bryan K. Wheelock | Registration No. Attorney/Agent) | 31,441 | Telephone     | 314-726-7500 |  |
| Signature                             | 8-8 Whele         |                                  | Date   | March 6, 2002 |              |  |

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE ATTORNEY DOCKET NUMBER 5236-000291

10/021,279

12/11/2001

Francis M. Creighton IV

**CONFIRMATION NO. 9670** 

Bryan K. Wheelock Harness, Dickey & Pierce, P.L.C. Suite 400 7700 Bonhomme St. Louis, MO 63105

**FORMALITIES LETTER** COPY OF PARTIC CO00000007494499\* ORIGINALLY FILED

Date Mailed: 02/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$153.
  - \$27 for 3 total claims over 20.
  - \$126 for 3 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 588.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 RSAYASII 00000085 10021279

126.00 GP FC:202 27.00 CP 03 FC:203